



## Enhancing the bilateral S&T Partnership with Ukraine

<b>Deliverable Title</b>	<b>D1.2 – Identification and analysis of “several case studies of good cooperation practice in S&amp;T” (first version – the methodological survey approach)</b>
Deliverable Lead:	ZSI – Centre for Social Innovation
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<b>Abstract</b>	This is the first version of the deliverable 1.2 and it is aimed at clarifying the methodology followed and the means used for the identification of several particular good practices in S&T cooperation between the European Union and Ukraine.
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## Versioning and Contribution History

Version	Date	Modification reason	Modified by
v.01	04/12/2009	First version of document for further editing improvements	Desiree Pecarz - ZSI
v.02	12/12/2009	Improvements and checking	Katharina Handler - ZSI
v.03			

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# 1 SUMMARY

This document represents the first version of the BILAT-UKR Deliverable 1.2 “Identification and analysis of several case studies of good cooperation practice in S&T” and mainly focuses on the methodological approach that was followed by the project consortium in order to:

- Firstly identify as many EU- Ukraine S&T cooperation initiatives as possible, comprising also the relevant contact details of the involved parties at both levels
- Conduct a survey on mutual benefits and hindering factors in EU- Ukraine S&T cooperation among the parties above described
- Analyse the survey results in order to identify 10 cases of particular good cooperation practice in EU- Ukraine S&T cooperation
- Describe such cases by means of a user-friendly format to be further published on-line within the project portal

The present deliverable is closely linked to the other BILAT-UKR D 2.2 and partly contains also the results of this latter document. It namely includes the list of EU- Ukraine S&T cooperation initiatives with the precise contact details of the involved parties along with the questionnaire elaborated for the survey. It finally also comprises the template for describing the best cases.

A second version of this deliverable is due by February 2011 and will gather not only the list of all best cases identified but also some analytical evaluations concerning the follow up of the projects and other cooperation initiatives between project participants.

## 2 METHODOLOGICAL APPROACH AND RATIONALE BEHIND THIS DELIVERABLE DEVELOPMENT

Originally named “Several case studies of good cooperation practice in S&T” the present deliverable is aimed at identifying and analysing case studies regarding S&T bilateral cooperation between the European Union and Ukraine, including lessons learnt and depicting mutual benefits.

This deliverable, in its first version and according to the Description of Work (DoW), was due by August 2009. Nevertheless its delivery was postponed upon agreement of the project’s work-package leaders during a meeting of WP leaders in Bonn (11-12 March 2009).

In such an occasion it was decided to follow a new approach for this deliverable’s development given the existing strong correlation with the other project D 2.2 “Inventory of good cooperation practice”, and the significant overlapping activities to be carried out in such frameworks. The objective behind such a decision was also to allow for the description of the major barriers hindering EU-UKR S&T collaboration while depicting cases.

The original content and development scheduling of D 1.2 and 2.1 was as follows:

1. D 1.2 “Several case studies of good cooperation practice in S&T” (two versions by Month 12 and Month 30) aimed at identifying and analysing examples of particular good cooperation practice (case studies with lessons learnt) including the depiction of its mutual benefits.
2. D 2.2 “Inventory of good cooperation practice” (by Month 10) aimed at identifying and analysing examples of particular good cooperation practice (case studies with lessons learnt) including the delineation of its mutual benefits as well as the major barriers hindering collaboration.

At the meeting in Bonn the partners elaborated a **new strategy and introduced a new methodological approach for both the D 1.2 and 2.2 development**, as follows:

1. New title for the **D 2.2: “Inventory of Good Cooperation Practice: list of relevant projects with contact details of the main European and Ukrainian involved partners”** (due by 31/08/2009)
  - being a comprehensive list of EU-UKR bilateral projects / activities including precise contact details of both project coordinators and partners
  - but with only some introductory information on such initiatives and no additional analysis of on benefits, barriers, etc. as instead originally foreseen by the DoW
  - the final result is a comprehensive repository of contacts to be exploited for conducting an on-line survey on mutual benefits and barriers in EU-UKR S&T cooperation
2. Development of a questionnaire aimed at identifying mutual benefits and hindering factors in EU-Ukraine S&T cooperation and creation of an on-line survey platform for this purpose (due by 31/08/2009)
3. Launch of the on-line survey on 15th September 2009 and analysis of results aimed at identifying 10 cases of particular good cooperation practice by February 2010.
4. New title for the first version of **D 1.2 “Identification and analysis of several case studies of good cooperation practice in S&T – the methodological survey approach”** (due by 31/10/2009)

- containing the list of projects with involved actors to be further contacted, the questionnaire elaborated for the on-line survey and a template for describing each of the 10 case studies that will be identified during the analysis of the survey results.
5. New title for the second version of **D 1.2: “Follow up and updating of the several case studies of good cooperation practice in S&T”** (by 28/02/2011)
- containing the description of all identified case studies (Milestone 10 by February 2010) and some analytical evaluations concerning the follow up of the projects and other cooperation initiatives between project participants.

The following chapters respectively briefly illustrate the content of the D 2.2: “Inventory of Good Cooperation Practice: list of relevant projects with contact details of the main European and Ukrainian involved partners“; provide the content and structure of the on-line survey and in the end the template that will be used for describing the 10 best practices identified by the analysis of the survey results.

### **3 RELEVANT INITIATIVES IN EU – UKRAINIAN S&T COOPERATION (D 2.2: “INVENTORY OF GOOD COOPERATION PRACTICE)**

According to the strategy just described, the project consortium has first of all developed an accurate inventory of the relevant current or recent projects and activities involving both European and Ukrainian parties.

**A total of 426 initiatives were identified within the following funding programs: FP6, FP7, INTAS, NATO, STCU, COST.**

The inventory was turned into the Deliverable 2.2 containing some introductory information on each listed project/action to facilitate the analysis of the nature of S&T R&D cooperation between the European Union and Ukraine.

Yet the most important aspect of such an inventory is the provision of the contact details of the main involved parties on both sides, which were accurately collected and verified.

These contact details represent indeed the respondents` base for the survey on the common benefits and encountered barriers in mutual cooperation to be carried out in autumn 2009.

All the parties listed in the present document were invited to answer and their responses will be analyzed in order to identify the 10 best cases in EU-UKR S&T cooperation.

### 3.1 List of identified initiatives and relevant contact details<sup>1</sup>

#### FP6 PROJECTS

Project acronym	Contact details of Coordinator	Contact details of the Ukrainian partner
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<sup>1</sup> More detailed information is provided in the Deliverable 2.2 “Inventory of Good Cooperation Practice: list of relevant projects with contact details of the main European and Ukrainian involved partners“

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## 4 ON-LINE SURVEY ON MUTUAL BENEFITS AND HINDERING FACTORS IN EU-UKRAINE S&T COOPERATION

All the project coordinators and partners listed above and in the D 2.2 were invited to participate in a on-line survey on mutual benefits and hindering factors in Ukraine-EU (member state) science and technology (S&T) cooperation.

Such an on-line survey was launched on 15<sup>th</sup> September 2009 and was accessible until 15<sup>th</sup> November 2009 for any EU (member state)-Ukraine project funded under FP6, FP7, STCU, COST, INTAS or NATO programmes. Projects funded under other programmes are not targeted.

The survey is to be based on the questionnaires hereinafter presented focussing on the respondents' experience gained under the execution of a joint multilateral project which involved at least an Ukrainian participant and at least one participant from the European Union.

### 4.1 The questionnaire structure and content

#### INTRODUCTORY INFORMATION AND TYPE OF PROJECTS

- Which of the following S&T programmes has been funding your joint EU (member state)-Ukraine project under scrutiny?

*(Please tick only the programme that funded the project you are answering for! If you have more than one joint Ukraine-EU(member state) project, please decide for the most important one or please fill in another feedback form(s) for the other project(s)!*

- FP6
- FP7
- STCU
- NATO
- INTAS
- COST

- Where is your organisation based?

- Ukraine
- EU

- If EU – in which country of EU is your organisation based?

- Austria
- Belgium
- Bulgaria
- Cyprus
- Czech Republic
- Denmark
- Estonia
- Finland
- France
- Germany

- Greece
- Hungary
- Ireland
- Italy
- Latvia
- Lithuania
- Luxembourg
- Malta
- Netherlands
- Poland
- Portugal
- Romania
- Slovakia
- Slovenia
- Spain
- Sweden
- United Kingdom

● **What is the type of your organisation?**

- higher education/university
- non-profit research organisation
- governmental body
- SME (small or medium sized enterprise)
- large enterprise
- other – please specify: .....

● **Please indicate the number of employees in your organisation:**

- 0 - 10 persons
- 11 - 50 persons
- 51 - 250 persons
- 251 – 500 persons
- More than 500 persons

● **How many years of professional experience in international cooperation projects do you have?**

- 0 – 5 years
- 6 - 10 years
- 11 – 15 years
- 16 – 20 years
- More than 20 years

● **What was your organisation's role in the project under scrutiny?**

- Coordinator
- Lead partner (e.g. work package leader)
- Regular partner
- Subcontractor or just marginally involved partner

● **What was the type of such a joint Ukraine-EU(member state) project?**

- Research project
- Coordination and support project
- Mobility oriented project
- Specific project for SMEs
- Workshop/conference grant
- Pilot study
- Exploitation (innovation) oriented project
- Specific action to promote research infrastructures
- Other, please specify: .....

● **What was the research field of the project?**

- Thematic area
  - Health (including biotechnology for health)
  - Food, Agriculture and Fisheries, Biotechnology
  - Information and Communication Technologies
  - Nanosciences, Nanotechnologies, Materials and new Production Technologies
  - Non-nuclear Energy
  - Nuclear Energy
  - Environment (including Climate Change and sustainability research)
  - Transport (including Aeronautics)
  - Socio-economic Sciences and Humanities
  - Space
  - Security
  - Coordination of Research Activities
  - Joint Technology Initiatives
  - Other, please specify:.....
- Cross-cutting area
  - Research for policy support
  - Co-ordination of research activities
  - Development of research/innovation policies

Other (please specify): .....

● **Please indicate the volume of the entire project under scrutiny:**

- 0 – 200.000 €  
 200.001 – 500.000 €  
 500.001 – 1000.000 €  
 1000.001 – 3.000.000 €  
 More than 3.000.000 €

● **What was the proportion of the project's budget carried out by you?**

- 0% – 5%  
 6% – 10%  
 11% – 20%  
 21% - 40%  
 41% - 60%  
 61% - 80%  
 81% - 100%

● **How would you assess the overall success of the joint Ukraine-EU(member state) project under scrutiny?**

- very successful  
 quite successful  
 minor successful  
 not successful

● **If this project under scrutiny would have been carried out on a national basis only, would it have had the potential to be as successful as under its international design?**

- no, a national project design would never have produced the same good results  
 yes, a national project design would have potentially produced the same good results  
 the project would have not been possible without international collaboration

## HINDERING FACTORS

● **In the implementation of your project/action, how important barriers are/have been the PERSONAL factors listed below?**

	<i>Very important</i>	<i>Quite important</i>	<i>Neither important nor unimportant</i>	<i>Not very important</i>	<i>Not important at all</i>	<i>I do not know / I can not decide</i>
Age distribution of the project consortium was a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gender distribution of the project consortium was a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Personal interest of project partners towards international collaboration was	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

a barrier						
Economic situation of project partners towards international collaboration was a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Language skills of project partners were a barrier	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify): .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**● In the implementation of your project/action, how important are/have been the ADMINISTRATIVE FACTORS listed below?**

	<i>Very important</i>	<i>Quite important</i>	<i>Neither important nor unimportant</i>	<i>Not very important</i>	<i>Not important at all</i>	<i>I do not know / I can not decide</i>
Shortage of proposal preparation time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Difficult to understand programmatic objectives of the call for proposals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Difficult to understand the participation rules and procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complicated project submission procedure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Difficult co-financial obligation for my institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Unfavourable accounting and financial rules	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Problematic tax regimes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complex and time consuming reporting procedures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Payment delays by funding organisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Changes in project-objectives, deliverables, budget or partners difficult to resolve	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Communication problems with the donor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify): .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**● Did you experience any of the following problems within the project cooperation under scrutiny?**

	<i>Yes, and were big problems</i>	<i>Yes, but were minor problems</i>	<i>None</i>	<i>I do not know / I can not decide</i>
Legal problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Financial problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
IPR and related exploitation problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Language barriers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Communication problems with the coordinator	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Communication problems with partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Obtaining visa permissions to go abroad	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Problems with customs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify): .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Please indicate the level of your agreement with the following statements about the capacity of YOUR INSTITUTION as barrier in your project cooperation:**

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
International cooperation is not of strategic interest to my institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution does not provide adequate professional and advisory support to international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution lacks skilled accounting professionals for meeting the requirements of multilateral/international projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution does not provide adequate professional assistance in project management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There is a lack of competent scientific collaborators at my institution	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Occupation with other priorities within my institution ( e.g. teaching activities) is taking scientists away from international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Financial gain from international cooperation for my institution is too negligible	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Financial gain for me and my research team is too negligible	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
We are lacking appropriate non-scientific facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
We are lacking adequate research infrastructure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Our information and communication technology (ICT) capacities are not sufficient	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
International cooperation is not recognised as a formal criteria for scientific promotion of individual scientist	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other (specify): .....	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Please indicate the level of your agreement with the statements listed below about the NATIONAL SITUATION as a barrier for international research cooperation:**

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
We are lacking industrial partners and companies for research cooperation and are thus not attractive as cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

partner					
National economy and technology do not benefit from international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
There are difficulties with researcher's mobility exchange (legal rules and procedures)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lobbying skills of my country at the level of EU administration (with other national governments) are rather low	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My country has low overall international reputation and scientific "image"	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
We are suffering from parochialism - low national openness to international collaboration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of financial support from government for international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Underinvestment in science and technology in general	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Please indicate the level of your agreement with the following statements on scientific excellence as barriers for international research cooperation:**

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
My personal scientific status is not high enough for my participation in international research projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My institution's competitive status at the international "research map" is not high enough for international cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
In my country we are lacking internationally recognised scientists who can compete in the international research arena	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
At my institution we face a lack of critical mass of researchers for conducting internationally accepted research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of appropriate research equipment in my country	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of networking – personal contacts in the international scientific networks	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Which of the following issues have caused/ are creating difficulties in your cooperation with the EU or Ukrainian counterpart?**

	<i>caused a lot of difficulty</i>	<i>caused some difficulty,</i>	<i>did not cause difficulty</i>	<i>I do not know / I can not decide</i>
Cooperation with the EU/Ukraine research team	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Differences in management approaches/cultures	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Size of the consortium	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Complexities of decision making	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Dependency on deliverables of project partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Communication, exchange of information	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reporting requirements, deadlines	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Substantial travel and other costs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Intellectual property issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lack of support from your parent organization	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Overspending of other partners	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Quality of outputs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

- **Would you like to comment on any other problems you have experienced while preparing and/or implementing the project?**

.....  
 .....  
 .....

**MUTUAL BENEFITS**

- **Who has the major benefits from the involvement in the project?**

- The Ukrainian partner(s)
- The EU partner(s)
- The proportion was balanced
- Others:.....

- **Please assess your personal learning gain in terms of project management (1=very high, 5=very low)**

- 1
- 2
- 3
- 4
- 5

- **Please assess the institutional learning gain in terms of project management (1=very high, 5=very low)**

- 1
- 2
- 3
- 4
- 5

- **Please assess your personal learning gain in terms of scientific progress (1=very high, 5=very low)**

- 1
- 2
- 3

45

● Please assess the institutional learning gain in terms of scientific progress (1=very high, 5=very low)

12345

● What was/is the impact of the project on your team / laboratory / institute?

	<i>Very important</i>	<i>Quite important</i>	<i>Neither important nor unimportant</i>	<i>Not very important</i>	<i>Not important at all</i>	<i>I do not know / I can not decide</i>
Opening the way for long-term and more ambitious projects	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Helping to further submit projects under the EU framework programme and/or other national and international programmes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributing to the scientific career of young scientists	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributing to the scientific career of senior scientists	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contributing to the establishment of equipment and techniques matching international standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Helping to reach or consolidate the state of the art in research in our specific thematic field	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Bringing new ideas and ways of thinking	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to complementary knowledge	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to specific material etc	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to special research infrastructure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Higher impact factor of publication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to wider scientific community	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Production of new knowledge which cannot be achieved within the national framework only	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Development or improvements of standards and regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Development of new/improved products, processes, services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Exchange of personnel	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Insight into other scientific culture(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Access to other markets	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Insight into other ways to organize research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Improved skills for working in international project consortia	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Establishing new partnerships for future international research cooperation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Publications in peer-reviewed journals	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Co-authored publications	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Jointly supervised PhD theses	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Presentation(s) at international conferences	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Application of international patent(s)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gain in prestige and reputation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**● To conclude, how would you assess your cooperation experience?**

	<i>I fully agree</i>	<i>I agree mostly</i>	<i>I rather do not agree</i>	<i>I do not agree at all</i>	<i>I do not know / I can not decide</i>
The EU-Ukraine cooperation was very successful in scientific terms	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The EU-Ukraine cooperation was essential for achieving the project results	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
The cooperation with Ukraine-partners improved quality and relevance of project outcomes which would not have been possible at such an extent in a national setting only	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Contacts and cooperation developed during the project will continue after the project has finished	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
My organisation has used the project to build other networks between the EU and Ukraine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**● Would you like to add anything regarding the benefits from bilateral S&T cooperation EU - Ukraine?**

.....  
 .....

**CONCLUDING REMARKS**

**● Would you assess your joint Ukraine-EU (member state) project/collabotation as a good practice case?**

- yes
- no

**● What are the main lessons you have learnt from this experience?**

.....  
 .....

**● In case of need for clarification, would you please indicate your e-Mail account:**

.....

**● Moreover, since we would like to explore the findings of this questionnaire in more depth in the framework of a few selected case studies, would you allow us to contact you in the future also in this respect?**

- yes
- no

## 5 GOOD COOPERATION PRACTICES IN EU-UKRAINE S&T COOPERATION

The following template was developed by the project consortium and is to be used for describing each of the 10 best practices in EU-Ukraine Science and technology cooperation identified during the analysis of the results of the on-line survey available from 15/09 to 15/11/2009. The cases shall depict the mutual benefits, barriers and the lessons learnt in the specific EU-Ukrainian S&T cooperation experiences.

### 5.1 Template for describing each of the ten identified case studies



#### Template for summarising each of the Ten particular good cooperation practices in EU-Ukraine S&T cooperation

<b>Linked Deliverable Title</b>	<b>D 1.2 – Identification and analysis of “particular good cooperation practice in S&amp;T”</b>
Deliverable Lead:	ZSI – Centre for Social Innovation
Related Work package:	WP1 – Information, dissemination and awareness raising
Related Task:	Task 1.1 – Information gathering on S&T and innovation activities in and with Ukraine
Author(s):	Désirée Pecarz, Katharina Handler (ZSI)
Dissemination level:	Public
Due submission date:	28/02/2010
Actual submission:	
Project Number	222712
Start date of Project:	01/09/2008

#### Preamble

An on-line survey was carried out in autumn 2009 and more than 800 European and Ukrainian organisations were invited to participate as being either coordinators or partners in a joint multilateral or bilateral project funded under the following programs: FP6, FP7, STCU, COST, INTAS or NATO. The survey has explored the mutual benefits as well as the hindering factors in EU-Ukraine science and technology (S&T) cooperation, and made available a comprehensive information base to be further analysed for identifying particular best practices. The survey was based on the questionnaire presented in the first version of the D 1.2. Out of all the received responses, a total of 10 case studies were eventually identified, and are hereinafter described as case studies depicting mutual benefits, barriers and the lessons learnt in the specific EU-Ukrainian S&T cooperation experience.

## Case study 1 – “Name of project”

<b>Project identification data</b>	
Funding programme	
Project brief description and objective	
Project type	
Research field	
Project Coordinator (full contact details, brief description of the type of organisation and number of employee)	
Ukrainian Partner (full contact details, brief description of the type of organisation and number of employee)	
Project total value	

<b>Main strengths of this cooperation experience</b>	
<b>Mutual Benefits</b>	
Main beneficiary	
Rating of personal learning gain	
Rating of institutional learning gain	
Other benefits	
<b>Barriers</b>	
Personal factors	
Administrative factors	
Capacity of involved institutions (coordinator and/or Ukrainian partner)	
National situation	

Scientific excellence	

<b>Issues causing/ creating difficulties in cooperation</b>

<b>Concrete impact of the project on teams, laboratory and institutes</b>

<b>Main lessons learnt</b>